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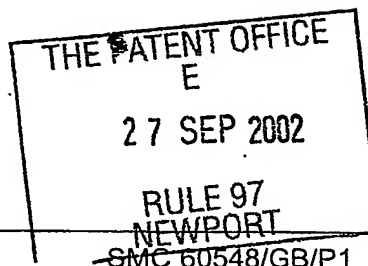
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Avecia Limited
Hexagon House
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Manchester, M9 8ZS
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07764137001
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4. Title of the invention Compounds, Compositions and Processes

5. Name of your agent (if you have one) MAYALL, John

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Claim(s)

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Abstract

01

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APPLICANTS

AVECIA LIMITED

TITLE

COMPOUNDS, COMPOSITIONS AND PROCESSES

COMPOUNDS, COMPOSITIONS AND PROCESSES

~~This invention relates to compounds, to compositions containing these~~
compounds, to inks, to printing processes, to printed substrates and to ink-jet printer
cartridges.

5 IJP is a non-impact printing technique in which droplets of ink are ejected through
a fine nozzle onto a substrate without bringing the nozzle into contact with the substrate.

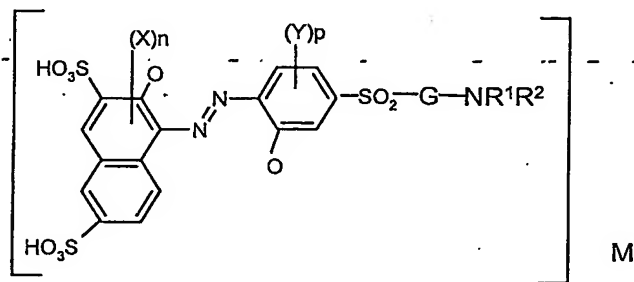
There are many demanding performance requirements for dyes and inks used in
IJP. For example they desirably provide sharp, non-feathered images having good water
light and ozone fastness and optical density. The inks are often required to dry quickly
10 when applied to a substrate to prevent smudging, but they should not form a crust over
the tip of an ink-jet nozzle because this will stop the printer from working. The inks should
also be stable to storage over time without decomposing or forming a precipitate that
could block the fine nozzle.

Copper chelate dyes are known from, for example, US 6,265,554 B1. However,
15 there is a continuing need to provide colorants having superior properties in ink-jet printing
inks.

A particular problem for photorealistic quality printing is that of light-fastness.
Prints are often exposed to daylight for long periods and there is a need for the image to
have as good light-fastness as possible. The colorants in the print reacting with
20 atmospheric ozone can play a major role in the fading of the dye.

This invention relates to magenta colorants suitable for use in ink-jet printing with
improved light-fastness and to ink jet associated products and processes using these
colourants.

25 According to the present invention there is provided a compound of Formula (1) or
a salt thereof:



Formula (1)

30 wherein:

X and Y

are each independently an optional substituent;

G

is optionally substituted C₁₋₁₂-alkylene;

M

is a metal

n is 0 to 6;
p is 0 to 3;
R¹ is optionally substituted C₁₋₈-alkyl, optionally substituted C₅₋₈
cycloalkyl, optionally substituted aryl or an optionally substituted
5 heterocycle; and
R² is H, or C₁₋₄-alkyl;

provided that R¹ is free from carboxy groups.

Preferably M is copper, more preferably M is Cu²⁺.

Compounds of Formula (1) may also comprise 1 or more additional ligands.

10 These ligands may be coloured or colourless and when there is more than one ligand they may be the same or different. For example water may be a further ligand to M.

Preferably X and Y are each independently selected from: optionally substituted alkyl (preferably C₁₋₄-alkyl), optionally substituted alkoxy (preferably C₁₋₄-alkoxy), optionally substituted aryl (preferably phenyl), optionally substituted aryloxy (preferably phenoxy),
15 optionally substituted heterocyclic, polyalkylene oxide (preferably polyethylene oxide or polypropylene oxide), carboxy, phosphato, sulpho, nitro, cyano, halo, ureido, -SO₂F, hydroxy, ester, -NR³R⁴, -COR³, -CONR³R⁴, -NHCOR³, carboxyester, sulphone, and -SO₂NR³R⁴ wherein R³ and R⁴ are each independently H or optionally substituted alkyl (especially C₁₋₄-alkyl) or, in the case of -CONR³R⁴ and -SO₂NR³R⁴, R³ and R⁴ together
20 with the nitrogen atom to which they are attached may represent an aliphatic or aromatic ring system. Optional substituents for any of the substituents described for X and Y may be selected from the same list of substituents.

Preferably X is sulpho, hydroxy or amino.

Preferably Y is sulpho, amino, carboxy or phosphato.

25 G preferably is optionally substituted C₁₋₈-alkylene and more preferably optionally substituted C₁₋₄-alkyl, especially C₂₋₄-alkyl.

Optional substituents present on G are preferably selected from: optionally substituted alkoxy (preferably C₁₋₄-alkoxy), optionally substituted aryl (preferably phenyl), optionally substituted aryloxy (preferably phenoxy), optionally substituted heterocyclic,
30 polyalkylene oxide (preferably polyethylene oxide or polypropylene oxide), carboxy, phosphato, sulpho, nitro, cyano, halo, ureido, -SO₂F, hydroxy, ester, -NR³R⁴, -COR³, -CONR³R⁴, -NHCOR³, carboxyester, sulphone, and -SO₂NR³R⁴, wherein R³ and R⁴ are as defined above. Optional substituents for any of the substituents described for G may be selected from the same list of substituents.

35 R¹ is preferably optionally substituted C₁₋₈-alkyl, especially C₁₋₄-alkyl, optionally substituted phenyl or an optionally substituted heterocycle.

When R¹ is optionally substituted C₁₋₈-alkyl preferred substituents are selected independently from those listed above for G. When R¹ is optionally substituted C₁₋₈-alkyl it is especially preferred that R¹ is substituted with one or more groups selected from the

group consisting of sulpho; phosphato; hydroxy; cyano, optionally substituted phenyl, especially phenylurea; optionally substituted heterocycle, especially tetrahydrofuran.

~~R² is preferably H or methyl.~~

5 Acid or basic groups on the compounds of Formula (1), particularly acid groups, are preferably in the form of a salt. Thus, the Formulae shown herein include the compounds in free acid and in salt forms and in mixtures thereof.

10 Preferred salts are alkali metal salts, especially lithium, sodium and potassium, ammonium and substituted ammonium salts (including quaternary amines such as $((CH_3)_4N^+)$ and mixtures thereof. Especially preferred are salts with sodium, lithium, ammonia and volatile amines and mixtures thereof, more especially preferred salts are sodium salts. The compounds may be converted into salts using known techniques.

The compounds of Formula (1) may exist in tautomeric forms other than those shown in this specification. These tautomers are included within the scope of the present invention.

15 The metal complexes comprising compounds of Formula (1) have attractive, strong magenta shades and are valuable colorants for use in the preparation of ink-jet printing inks. They benefit from a good balance of solubility, storage stability and fastness to water and light. In particular they display excellent light and ozone fastness.

20 According to a second aspect of the present invention there is provided a composition comprising a compound of Formula (1) according to the first aspect of the invention and a medium, preferably a liquid medium.

Preferred compositions comprise:

(a) from 0.01 to 30 parts of a compound according to the first aspect of the invention, preferably of Formula (5); and

25 (b) from 70 to 99.99 parts of a liquid medium;

wherein all parts are by weight and the number of parts of (a)+(b)=100.

The number of parts of component (a) is preferably from 0.1 to 20, more preferably from 0.5 to 15, and especially from 1 to 5 parts. The number of parts of component (b) is preferably from 99.9 to 80, more preferably from 99.5 to 85, especially from 99 to 95 parts.

30 Preferably component (a) is completely dissolved in component (b). Preferably component (a) has a solubility in component (b) at 20°C of at least 10%. This allows the preparation of liquid dye concentrates that may be used to prepare more dilute inks and reduces the chance of the dye precipitating if evaporation of the liquid medium occurs during storage.

35 Preferred liquid media include water, a mixture of water and organic solvent and organic solvent free from water.

When the medium comprises a mixture of water and organic solvent, the weight ratio of water to organic solvent is preferably from 99:1 to 1:99, more preferably from 99:1 to 50:50 and especially from 95:5 to 80:20.

It is preferred that the organic solvent present in the mixture of water and organic solvent is a water-miscible organic solvent or a mixture of such solvents. Preferred water-miscible organic solvents include C₁₋₆-alkanols, preferably methanol, ethanol, n-propanol, isopropanol, n-butanol, sec-butanol, tert-butanol, n-pentanol, cyclopentanol and cyclohexanol; linear amides, preferably dimethylformamide or dimethylacetamide; ketones and ketone-alcohols, preferably acetone, methyl ether ketone, cyclohexanone and diacetone alcohol; water-miscible ethers, preferably tetrahydrofuran and dioxane; diols, preferably diols having from 2 to 12 carbon atoms, for example pentane-1,5-diol, ethylene glycol, propylene glycol, butylene glycol, pentylene glycol, hexylene glycol and thiodiglycol and oligo- and poly-alkyleneglycols, preferably diethylene glycol, triethylene glycol, polyethylene glycol and polypropylene glycol; triols, preferably glycerol and 1,2,6-hexanetriol; mono-C₁₋₄-alkyl ethers of diols, preferably mono-C₁₋₄-alkyl ethers of diols having 2 to 12 carbon atoms, especially 2-methoxyethanol, 2-(2-methoxyethoxy)ethanol, 2-(2-ethoxyethoxy)-ethanol, 2-[2-(2-methoxyethoxy)ethoxy]ethanol, 2-[2-(2-ethoxyethoxy)-ethoxy]-ethanol and ethyleneglycol monoallylether; cyclic amides, preferably 2-pyrrolidone, N-methyl-2-pyrrolidone, N-ethyl-2-pyrrolidone, caprolactam and 1,3-dimethylimidazolidone; cyclic esters, preferably caprolactone; sulfoxides, preferably dimethyl sulfoxide and sulpholane. Preferably the liquid medium comprises water and 2 or more, especially from 2 to 8, water-miscible organic solvents.

Especially preferred water-miscible organic solvents are cyclic amides, especially 2-pyrrolidone, N-methyl-pyrrolidone and N-ethyl-pyrrolidone; diols, especially 1,5-pentane diol, ethyleneglycol, thiodiglycol, diethyleneglycol and triethyleneglycol; and mono- C₁₋₄-alkyl and C₁₋₄-alkyl ethers of diols, more preferably mono- C₁₋₄-alkyl ethers of diols having 2 to 12 carbon atoms, especially 2-methoxy-2-ethoxy-2-ethoxyethanol.

Examples of further suitable liquid media comprising a mixture of water and one or more organic solvents are described in US 4,963,189, US 4,703,113, US 4,626,284 and EP 4,251,50A.

When the liquid medium comprises an organic solvent free from water, (i.e. less than 1% water by weight) the solvent preferably has a boiling point of from 30° to 200°C, more preferably of from 40° to 150°C, especially from 50 to 125°C. The organic solvent may be water-immiscible, water-miscible or a mixture of such solvents. Preferred water-miscible organic solvents are any of the hereinbefore-described water-miscible organic solvents and mixtures thereof. Preferred water-immiscible solvents include, for example, aliphatic hydrocarbons; esters, preferably ethyl acetate; chlorinated hydrocarbons, preferably CH₂Cl₂; and ethers, preferably diethyl ether; and mixtures thereof.

When the liquid medium comprises a water-immiscible organic solvent, preferably a polar solvent is included because this enhances solubility of the compound in the liquid medium. Examples of polar solvents include C₁₋₄-alcohols.

In view of the foregoing preferences it is especially preferred that where the liquid medium is an organic solvent free from water it comprises a ketone (especially methyl

ethyl ketone) &/or an alcohol (especially a C₁₋₄-alkanol, more especially ethanol or propanol).

The organic solvent free from water may be a single organic solvent or a mixture of two or more organic solvents. It is preferred that when the medium is an organic solvent free from water it is a mixture of 2 to 5 different organic solvents. This allows a medium to be selected that gives good control over the drying characteristics and storage stability of the ink.

Liquid media comprising an organic solvent free from water are particularly useful where fast drying times are required and particularly when printing onto hydrophobic and non-absorbent substrates, for example plastics, metal and glass.

The liquid media may also contain additional components conventionally used in ink jet printing inks, for example viscosity and surface tension modifiers, corrosion inhibitors, biocides, kogation reducing additives and surfactants which may be ionic or non-ionic.

Although not usually necessary, further colorants may be added to the composition to modify the shade and performance properties. Examples of such colorants include C.I.Direct Yellow 86, 132, 142 and 173; C.I.Direct Blue 199, and 307; C.I.Food Black 2; C.I.Direct Black 168 and 195; C.I.Acid Yellow 23; and any of the dyes used in ink jet printers sold by Seiko Epson Corporation, Hewlett Packard Company, Canon Inc. & Lexmark International. Addition of such further dyes can increase overall solubility leading to less kogation (nozzle blockage) for the resultant ink.

Preferably the composition according to the second aspect of the invention is an ink-jet printing ink or a liquid dye concentrate. Concentrates are useful as a means for transporting colorant and so minimising costs associated with drying the dye and transporting excess liquid.

Thus, compositions according to the second aspect of the invention are preferably prepared using high purity ingredients and/or by purifying the composition after it has been prepared. Suitable purification techniques are well known, e.g. ultrafiltration, reverse osmosis, ion exchange and combinations thereof (either before or after they are incorporated in a composition according to the present invention). This purification results in the removal of substantially all of the inorganic salts and by-products resulting from its synthesis. Such purification assists in the preparation of a low viscosity aqueous solution suitable for use in an ink jet printer.

Thus, preferably the ink has a viscosity of less than 20 cP, more preferably less than 10 cP, especially less than 5 cP, at 25°C. These low viscosity inks are particularly well suited for application to substrates by means of ink jet printers.

Preferably the ink contains less than 500ppm, more preferably less than 250ppm, especially less than 100ppm, more especially less than 10ppm in total of divalent and trivalent metal ions (other than any divalent and trivalent metal ions bound to a component of the ink).

Preferably the ink has been filtered through a filter having a mean pore size below $10\mu\text{m}$, more preferably below $3\mu\text{m}$, especially below $2\mu\text{m}$, more especially below $1\mu\text{m}$. This filtration removes particulate matter that could otherwise block the fine nozzles found in many ink-jet printers.

5 Preferably the ink contains less than 500ppm, more preferably less than 250ppm, especially less than 100ppm, more especially less than 10ppm in total of halide ions.

A third aspect of the invention provides a process for forming an image on a substrate comprising applying an ink according to the second aspect of the invention thereto by means of an ink jet printer.

10 The ink-jet printer preferably applies the ink to the substrate in the form of droplets that are ejected through a small orifice onto the substrate. Preferred ink-jet printers are piezoelectric ink-jet printers and thermal ink-jet printers. In thermal ink-jet printers, programmed pulses of heat are applied to the ink in a reservoir by means of a resistor adjacent to the orifice, thereby causing the ink to be ejected from the orifice in the form of
15 small droplets directed towards the substrate during relative movement between the substrate and the orifice. In piezoelectric ink-jet printers the oscillation of a small crystal causes ejection of the ink from the orifice. Alternately the ink can be ejected by an electromechanical actuator connected to a moveable paddle or plunger, for example as described in International Patent Application 00/48938 and International Patent Application
20 00/55089.

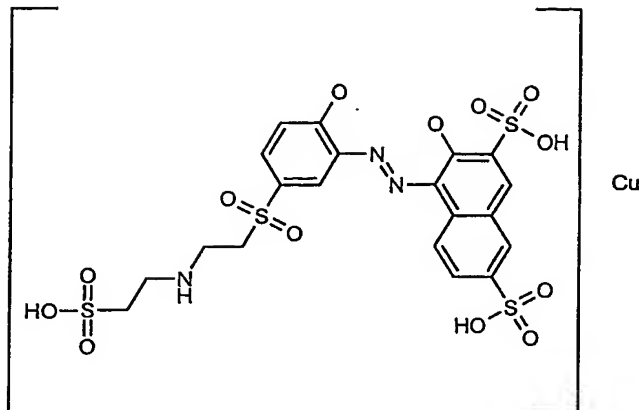
The substrate is preferably paper, plastic, a textile, metal or glass, more preferably paper, an overhead projector slide or a textile material, especially paper.

Preferred papers are plain or treated papers which may have an acid, alkaline or neutral character.

25 A fourth aspect of the present invention provides paper, plastic, a textile, metal or glass or an overhead projector slide especially paper more especially plain, coated or treated papers printed with a composition according to the second aspect of the invention, a compound according to the first aspect of the invention or by means of a process according to third aspect of the invention.

30 A fifth aspect of the present invention provides an ink jet printer cartridge comprising a chamber and an ink wherein the ink is in the chamber and the ink is as defined in the second aspect of the present invention.

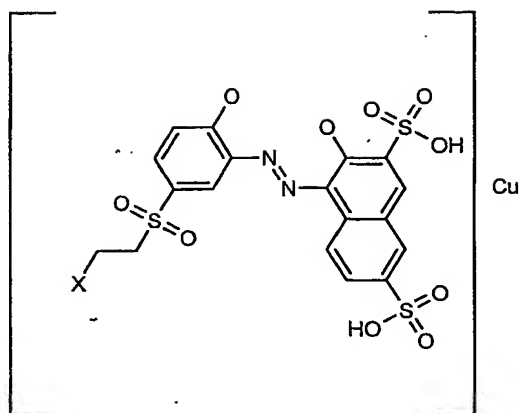
The invention is further illustrated by the following Examples in which all parts and percentages are by weight unless otherwise stated.

Example 1

Taurine (7.8g, 0.062mol) was added to a solution of Reactive Red 23 (Duasyn™ Red 3B-SF-VP 346 from Clariant) (25g, 0.031mol) in water (300ml). The reaction mixture was adjusted to pH 9 by the addition of 2N NaOH and stirred at 60°C for 2 hours. The reaction mixture was then cooled to room temperature, the pH adjusted to 3 with concentrated HCl and the product precipitated by the addition of sodium chloride. The product was collected by filtration and dissolved in water (300 ml) and the pH adjusted to pH 7 with 48% NaOH. This solution was dialysed until the conductivity was less than 100µs and then evaporated in a oven at 70°C to give 19g (80%) of a magenta solid.

Examples 2 to 4

Examples 2 to 4 were prepared using an analogous process to that described in Example 1 except that in place of taurine the amino compounds shown in Table 1 were used to give compounds of general Formula (2) bearing the different X substituents shown in Table 1.



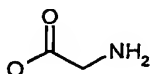
Formula (2)

Table 1

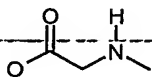
Example	Amino Compound	X
2		
3		
4		

Comparative Example 1

Comparative Example 1 was prepared using an analogous process to that described in Example 1 except that the amino compound:



was used in place of taurine to give a compound of Formula (2) wherein X is:



5

Example 5

Preparation of Inks 1 to 4 and Comparative Ink 1

Inks 1 to 4 were prepared by dissolving the corresponding compounds of Examples 1 to 4 (3.5 g) in 100 ml of a liquid medium consisting of 2-pyrrolidone /thiodiglycol /Surfynol™ 465 in a weight ratio of 5:5:1.

Comparative Ink 1 was prepared by dissolving the compound of Comparative Example 1 (3.5 g) in 100 ml of a liquid medium consisting of 2-pyrrolidone /thiodiglycol /Surfynol™ 465 in a weight ratio of 5:5:1.

15

Example 6

Ink-jet Printing

Inks 1 to 4 and Comparative Ink 1 were ink-jet printed onto a variety of papers using a Hewlett Packard DeskJet 550C™. The CIE colour co-ordinates of each print (a, b, L, Chroma "C" and hue "h") were measured using a Xrite 983™ Spectrodensitometer with 0°/45° measuring geometry with a spectral range of 400-700nm at 20nm spectral intervals, using illuminant C with a 2° (CIE 1931) observer angle and a density operation of status T. No less than 2 measurements were taken diagonally across a solid colour block on the print with a size greater than 10mm x 10mm. The properties of the resultant prints are shown in Table 2.

25

Table 2
Ink Jet Print Properties

Ink	Paper	% Depth	L	a	b	C	h
Ink 1	Canon PR101 TM	100	49	65	-2	65	358
Ink 1	Canon PR101 TM	50	63	53	-7	54	352
Ink 1	Kodak Premium TM	100	45	60	-3	60	357
Ink 1	Kodak Premium TM	50	57	57	-10	58	350
Ink 2	Canon PR101 TM	100	45	66	-13	67	349
Ink 2	Canon PR101 TM	50	60	55	-15	57	344
Ink 2	Kodak Premium TM	100	42	59	-9	60	351
Ink 2	Kodak Premium TM	50	54	58	-15	60	346
Ink 3	Canon PR101 TM	100	51	67	3	67	3
Ink 3	Canon PR101 TM	50	64	55	-6	56	354
Ink 3	Kodak Premium TM	100	46	61	1	61	1
Ink 3	Kodak Premium TM	50	58	57	-7	57	353
Ink 4	Canon HR101 TM	100	47	68	3	68	2
Ink 4	Canon HR101 TM	50	60	60	-6	61	355
Ink 4	Kodak Premium TM	100	39	49	-10	50	349
Ink 4	Kodak Premium TM	50	53	51	-13	53	346

5 Light Fastness

To evaluate light fastness the prints were irradiated in an Atlas Ci35 Weatherometer for 100 hours. The results are shown in Table 3 where degree of fade is expressed as ΔE where a lower figure indicates higher light fastness. ΔE is defined as the overall change in the CIE colour co-ordinates L^* , a^* , b^* of the print and is expressed by the equation $\Delta E = (\Delta L^2 + \Delta a^2 + \Delta b^2)^{0.5}$.

Table 3
Light Fastness

Ink	Paper	ΔE
Ink 1	Canon PR101 TM	4
Ink 1	Kodak Premium TM	6
Ink 2	Canon PR101 TM	7
Ink 2	Kodak Premium TM	9
Ink 3	Canon PR101 TM	9
Ink 3	Kodak Premium TM	10
Ink 4	Canon PR101 TM	10
Ink 4	Kodak Premium TM	12
Comparative Ink	Canon PR101 TM	15
Comparative Ink	Kodak Premium TM	14

5 Further Inks

The inks described in Tables A and B may be prepared wherein the Dye described in the first column is the compound made in the above Example of the same number. Numbers quoted in the second column onwards refer to the number of parts of the relevant ingredient and all parts are by weight. The inks may be applied to paper by ink-jet printing.

The following abbreviations are used in Tables A and B:

PG = propylene glycol

DEG = diethylene glycol

NMP = N-methyl pyrrolidone

DMK = dimethylketone

IPA = isopropanol

MEOH = methanol

2P = 2-pyrrolidone

MIBK = methylisobutyl ketone

P12 = propane-1,2-diol

BDL = butane-2,3-diol

CET= cetyl ammonium bromide

PHO = Na₂HPO₄ and

TBT = tertiary butanol

TDG = thiodiglycol

TABLE A

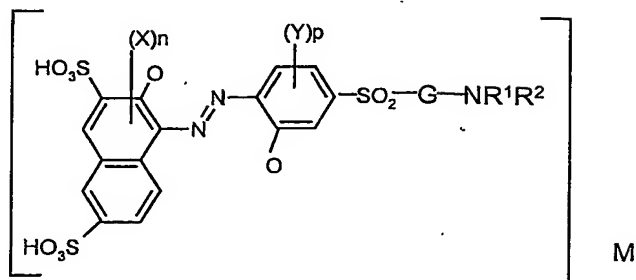
Example	Dye Content	Water	PG	DEG	NMP	DMK	NaOH	Na Stearate	IPA	MEOH	2P	MIBK
1	2.0	80	5		6	4					5	
2	3.0	90		5	5		0.2			5	1	
3	10.0	85	3		3							1
4	2.1	91		8								5
1	3.1	86	5					0.2	4			
1	1.1	81			9		0.5				9	
2	2.5	60	4	15	3	3			6	10	5	4
3	5	65		20					10			
4	2.4	75	5	4		5				6		5
1	4.1	80	3	5	2	10		0.3				
1	3.2	65		5	4	6			5	4	6	5
2	5.1	96								4		
1	10.8	90	5						5			
2	10.0	80	2	6	2	5			1		4	
3	1.8	80		5							15	
4	2.6	84			11						5	
1	3.3	80	2			10				2		6
1	12.0	90				7			3			
1	5.4	69	2	20	2	1	0.3				3	3
1	6.0	91			4						5	

TABLE B

Example	Dye Content	Water	PG	DEG	NMP	CET	TBT	TDG	BDL	PHO	2P	P12
1	3.0	80	15			0.2					5	
2	9.0	90		5						1.2		5
3	1.5	85	5	5		0.15	5.0	0.2		0.12		
4	2.5	90		6	4							
1	3.1	82	4	8		0.3						6
1	0.9	85		10					5	0.2		
2	8.0	90		5	5			0.3				
3	4.0	70		10	4				1		4	11
4	2.2	75	4	10	3				2		6	
1	10.0	91			6						3	
1	9.0	76		9	7		3.0			0.95	5	
1	5.0	78	5	11							6	
2	5.4	86			7						7	
3	2.1	70	5	5	5	0.1	0.2	0.1	5	0.1	5	
4	2.0	90		10								
1	2	88						10				
1	5	78			5			12			5	
1	8	70	2		8			15			5	
1	10	80						8			12	
1	10	80		10								

CLAIMS

1. A compound of Formula (1) or a salt thereof:



Formula (1)

wherein:

X and Y

are each independently an optional substituent;

G

is optionally substituted C₁₋₁₂-alkylene;

M

is a metal

n

is 0 to 6;

p

is 0 to 3;

R¹

is optionally substituted C₁₋₈-alkyl, optionally substituted C₅₋₈ cycloalkyl, optionally substituted aryl or an optionally substituted heterocycle; and

R²

is H, or C₁₋₄-alkyl;

provided that R¹ is free from carboxy groups.

2. A compound according to claim 1 wherein M is Cu²⁺.

3. A compound according to either claim 1 or claim 2 wherein G is optionally substituted C₁₋₄-alkyl.

4. A compound according to any one of the preceding claims wherein R¹ is optionally substituted C₁₋₈-alkyl, optionally substituted phenyl or an optionally substituted heterocycle.

5. A compound according to any one of the preceding claims wherein R² is H or methyl.

6. A composition comprising a compound as described in any one of claims 1 to 4 and a liquid medium.

7. A composition according to claim 6 which is an ink suitable for use in an ink jet printer.

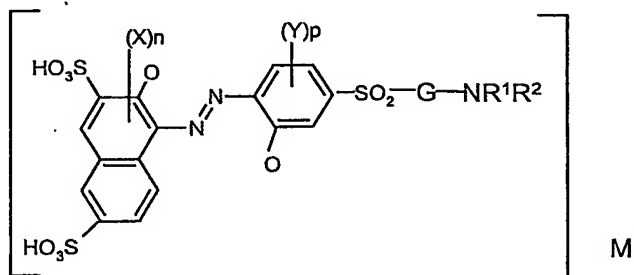
5 8. A process for forming an image on a substrate which comprises applying an ink as described in claim 7 thereto by means of an ink jet printer.

9. A paper, a plastic, a textile, metal or glass, or an overhead projector slide printed with a compound as described in any one of claims 1 to 6.

10 10. An ink jet printer cartridge comprising a chamber and an ink wherein the ink is in the chamber and the ink is as defined in claim 7.

ABSTRACT

A compound comprising a compound of Formula (1) or a salt thereof:



Formula (1)

wherein:

X and Y

are each independently an optional substituent;

G

is optionally substituted C₁₋₁₂-alkylene;

M

is a metal

n

is 0 to 6;

p

is 0 to 3;

R¹

is optionally substituted C₁₋₈-alkyl, optionally substituted C₅₋₈ cycloalkyl, optionally substituted aryl or an optionally substituted heterocycle; and

R²

is H, or C₁₋₄-alkyl;

provided that R¹ is free from carboxy groups.

Also compositions, inks, ink-jet cartridges and substrates comprising the compound and processes using the compound.

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